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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

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| INVENTOR(S) | | | | | |
|---|--|--|--|---|--|
| Given Name (first and middle [if any]) | | Family Name or Surname | | Residence (City and either State or Foreign Country) | |
| Harry | | VIG | | Billeria, MA | |
| Additional inventors are being named on the _____ separately numbered sheets attached hereto | | | | | |
| TITLE OF THE INVENTION (500 characters max) | | | | | |
| Direct all correspondence to: CORRESPONDENCE ADDRESS | | | | | |
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| ENCLOSED APPLICATION PARTS (check all that apply) | | | | | |
| <input checked="" type="checkbox"/> Specification Number of Pages <u>15</u> | | <input type="checkbox"/> CD(s), Number _____ | | | |
| <input checked="" type="checkbox"/> Drawing(s) Number of Sheets <u>3</u> | | <input type="checkbox"/> Other (specify) _____ | | | |
| <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | | | | |
| METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT | | | | | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | | | | | |
| <input type="checkbox"/> A check or money order is enclosed to cover the filing fees. | | | | | |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: <u>502992</u> | | | | | |
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| The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government. | | | | | |
| <input checked="" type="checkbox"/> No. | | | | | |
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[Page 1 of 2]

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME

Joseph E. Gortych

TELEPHONE

802-655-7222

Date

March 2, 2004

REGISTRATION NO.

41,791

(if appropriate)

Docket Number:

022A-03P

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This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL
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Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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80-**Complete if Known**

Application Number

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First Named Inventor

V. G. Harry

Examiner Name

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Attorney Docket No.

022A-03P**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
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Name**502992****MagiQ Technologies, Inc**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|-----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | 80 |

SUBTOTAL (1) (\$)
80-**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | |
| Multiple Dependent | -3** = | X | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)
N/A

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FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 950 | 2253 475 | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
0**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)

Joseph E. GortychRegistration No.
(Attorney/Agent)**41,791**

Telephone

802 655 7222

Signature

Joseph E. Gortych

Date

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MODULATOR TIMING FOR QKD

Field of the Invention

The present invention relates to quantum cryptography, and in particular relates to a method for establishing the timing of the operation of modulators in a quantum key exchange (QKD) system.

Background of the Invention

Quantum key distribution involves establishing a key between a sender ("Alice") and a receiver ("Bob") by using weak (e.g., 0.1 photon on average) optical signals transmitted over a "quantum channel." The security of the key distribution is based on the quantum mechanical principal that any measurement of a quantum system in unknown state will modify its state. As a consequence, an eavesdropper ("Eve") that attempts to intercept or otherwise measure the quantum signal will introduce errors into the transmitted signals, thereby revealing her presence.

The general principles of quantum cryptography were first set forth by Bennett and Brassard in their article "Quantum Cryptography: Public key distribution and coin tossing," Proceedings of the International Conference on Computers, Systems and Signal Processing, Bangalore, India, 1984, pp. 175-179 (IEEE, New York, 1984). Specific QKD systems are described in publications by C.H. Bennett et al entitled "Experimental Quantum Cryptography" and by C.H. Bennett entitled "Quantum Cryptography Using Any Two Non-Orthogonal States", Phys. Rev. Lett. 68 2121 (1992).

The general process for performing QKD is described in the book by Bouwmeester et al., "The Physics of Quantum Information," Springer-Verlag 2001, in Section 2.3, pages 27-33. During the QKD process, Alice uses a random number generator (RNG) to generate a random bit for the basis ("basis bit") and a random bit for the key ("key bit") to create a qubit (e.g., using polarization or phase encoding) and sends this qubit to Bob.

The above mentioned publications by Bennet each describe a so-called “one-way” QKD system wherein Alice randomly encodes the polarization or phase of single photons at one end of the system, and Bob randomly measures the polarization or phase of the photons at the other end of the system. The one-way system described in the Bennett 1992 paper is based on two optical fiber Mach-Zehnder interferometers. Respective parts of the interferometric system are accessible by Alice and Bob so that each can control the phase of the interferometer. The interferometers need to be actively stabilized to within a portion of quantum signal wavelength during transmission to compensate for thermal drifts.

U.S. Patent No. 6,438,234 to Gisin (the ‘234 patent), which patent is incorporated herein by reference, discloses a so-called “two-way” QKD system that is autocompensated for polarization and thermal variations. Thus, the two-way QKD system of the ‘234 patent is less susceptible to environmental effects than a one-way system.

However, QKD systems such as ‘234 are typically described as operating in their ideal operating state without any description of how the ideal state is reached. As it turns out, setting up a QKD system to operate in an ideal state is a daunting task since QKD system operation involves exchanging weak pulses that need to be modulated at very precise times.

Summary of the Invention

A first aspect of the invention is a method of setting up the timing for the phase modulators in a two-way QKD system. The method includes selecting an initial time T_0 , an initial modulation voltage V_B (e.g., $V_B[\pi]$) and a relatively large initial voltage signal width WB for Bob’s modulator MB . The method also includes sending delayed pulses P_1 and P_2 from Bob to Alice and receiving the pulses back at Bob without any modulation at Alice’s modulator MA . The method further includes counting the pulses that are to be modulated by Bob at Bob’s detectors. If no modulation by Bob’s modulator occurs, then the method includes iteratively incrementing the voltage timing by a coarse time interval and

observing whether the detectors indicate that modulation has occurred. When modulation occurs, as indicated by a shift in the counts between the detectors, then the voltage timing is reset to a time T_1 that yields the change in detector counts. The coarse time interval is then sub-divided into fine time intervals. The voltage signal width is reduced and the timing is adjusted from T_1 by increments of the fine time interval to further narrow down the precise voltage timing. This process of iteratively resetting the timing, subdividing the previous time intervals and then incrementing the timing by the new sub-interval is repeated until the modulator voltage timing is deduced to a desired degree of accuracy. The voltage timing may ultimately be adjusted along the way to center the voltage signal to the arrival of the pulse to be modulated.

Once Bob's timing T_{VB} is established, then Bob's modulator voltage is fixed at V_B ($V_B[\pi]$) and Alice's modulator voltage V_A is set to $V_A = -V_B = V_A[-\pi]$. Also, the modulator voltage width W_A is set to be relatively large and a (new) initial voltage signal (leading edge) timing T_0 is selected. The iterative process described above for Bob is repeated essentially the same for Alice with respect to the coarse and fine adjustment of the timing and adjusting the voltage signal width W_A to establish a final timing T_{VA} that is centered about the pulse that is modulated by Alice.

In an example embodiment, one of the pulses is modulated both as it enters and as it leaves Alice. This allows for Alice's modulator to modulate the pulse for orthogonal polarizations. Since phase modulators tend to be polarization sensitive, this approach serves to reduce modulation error that results from polarization variations in the pulses.

Brief Description of the Drawings

FIG. 1 is a schematic diagram of a two-way QKD system;

FIG. 2 is a flow diagram of an example embodiment of the method of establishing the modulator timing in the two-way QKD system of FIG. 1 for Bob's modulator; and

FIG. 3 is a flow diagram of an example embodiment of the method of establishing the modulator timing in the two-way QKD system of FIG. 1 for Alice's modulator.

Detailed Description of the Invention

The present invention relates to quantum cryptography, and in particular relates to systems and methods for performing phase or polarization modulation in quantum key exchange (QKD) system. The ideal operation of a two-way QKD system is described immediately below, followed by descriptions of example embodiments of the timing set-up.

Two-way QKD system

FIG. 1 is a schematic diagram of a two-way QKD system 100. Bob includes laser 12 that emits light pulses P0. Laser 12 is coupled to a time-multiplexing/demultiplexing (M/D) optical system 104. M/D optical system 104 receives input pulses P0 from laser 12 and splits each pulse into two time-multiplexed pulses P1 and P2. Likewise, optical system 104 receives from Alice (discussed below) pairs of time-multiplexed pulses and combines (interferes) them into a single pulse. Bob also includes a phase modulator MB coupled to an M/D optical system on the side opposite laser 12. Optical fiber link FL is coupled to phase modulator MB and connects Bob to Alice. Bob also includes a voltage controller 44 coupled to modulator MB, and a random number generator (RNG) unit 46 coupled to the voltage controller.

Bob also includes two detectors 32a and 32b coupled to M/D optical system 104, and a controller 50 operatively (e.g., electrically) coupled to laser 12, detectors 32a and 32b, voltage controller 44 and to RNG unit 46.

Alice includes a phase modulator MA coupled at one end to optical fiber link FL and at the opposite end to a Faraday mirror FM. Alice also includes voltage controller 14 coupled to modulator MA, and random number generator (RNG) unit 6 coupled to the voltage controller. Alice further includes controller 20 coupled to RNG unit 16 and to voltage controller 14.

Bob's controller 50 is coupled (optically or electronically) to Alice's controller 20 via synchronization channel SL to synchronize the operation of Alice and Bob. In particular, the operation of the phase modulators MA and MB is coordinated by controllers 20 and 50 exchanging synchronization signals SS. In an example embodiment, the operation of the entire system, including the timing set-up of the present invention, is controlled from either controller 20 or controller 50.

Example operational embodiment of two-way QKD system

In an example embodiment of the operation of QKD system 100, Bob's controller 50 sends a signal S0 to laser 12, which in response thereto initiates a relatively strong, short laser pulse P0, which is then attenuated by an optional variable optical attenuator VOA 13B. The (weak) pulse P0 arrives at M/D optical system 104, which splits the pulse into two weak pulses, P1 and P2, having orthogonal polarization. Pulse P1 goes directly towards Alice while P2 is delayed. Pulses P1 and P2 pass through MB (which remains inactivated at this point), and the pulses travel down the fiber to Alice.

Note that in another embodiment of system 100, pulses P0 and P1 can be relatively strong pulses that are attenuated by Alice using a VOA 13A located at Alice, wherein the pulses are attenuated to make them weak (quantum) pulses prior to them returning to Bob.

The pulses pass through Alice's modulator MA and reflect off of Faraday mirror FM, which changes the polarization of the pulses by 90°. As the pulses travel back through modulator MA, Alice lets the first pulse P1 pass therethrough unmodulated but modulates the phase (i.e., imparts a phase shift Φ_A to) second pulse P2.

The timing of the modulation is provided by the synchronization signal SS shared between controllers 20 and 50, as described in greater detail below. The modulation at Alice is carried out by controller 20 providing a well-timed signal S1 to RNG unit 16, which provides a signal S2 representative of a random number to voltage controller 14. In response, voltage controller 14 sends a voltage signal

V_A (e.g., $V[+3\pi/4]$, $V[-3\pi/4]$, $V[+\pi/4]$, and $V[-\pi/4]$) to modulator MA to set the phase modulation to a corresponding value $+3\pi/4$, $-3\pi/4$, $\pi/4$ or $-\pi/4$.

The two pulses P1 and P2 then travel back to Bob, where, say, pulse P2 passes unaltered through modulator MB, but where Bob imparts a phase shift Φ_B to pulse P1. The timing of the modulation of pulse P1 (or any other selected pulse) at Bob is provided by the synchronization signal SS shared between controllers 20 and 50, as described in greater detail below. The modulation is carried out by controller 50 providing a well-timed signal S3 to RNG unit 46, which provide a signal S4 representative of a random number to voltage controller 44. In response, voltage controller 44 sends a voltage signal V_B (e.g., $V[+\pi/4]$ or $V[-\pi/4]$) to modulator MB to set the phase modulation to a corresponding value $+\pi/4$ or $-\pi/4$.

Further, when pulses P1 and P2 enter M/D optical system 104, pulse P2 passes directly through but pulse P1 is delayed by an equal amount equal to that originally imparted to pulse P2. M/D optical system then interferes pulses P1 and P2.

The detectors 32a and 32b are arranged so that constructive interference ($\Phi_A - \Phi_B = 0$) is detected by detector 32a and destructive interference ($\Phi_A - \Phi_B = \pi$) is detected by detector 32b. When Bob imparts the same basis phase as Alice, a count in detector 32a indicates binary 0 and a count in detector 32b indicates binary 1. However, when Bob's basis phase is different from Alice's, there is no correlation and the count winds up in either detector 32a or 32b with equal probability (i.e., interfered the pulse has a 50:50 chance of being detected in either detector).

Modulator timing set-up

The description above relates to an idealized QKD system. However, in practice, such systems do not automatically operate in the ideal state. Further, a commercially realizable system must first be set up to operate and then must be able to compensate for changes in its operating state to ensure ongoing operation.

Accordingly, prior to running a QKD system in the idealized manner described above, the system must first be calibrated. This includes calibrating the phase modulators so that the proper phase encoding is achieved.

In order to even calibrate the modulators in a QKD system, the proper timing of the activation of the modulators must first be established. Specifically, each modulator must be activated at the precise moment the pulse that needs to be modulated passes through the particular modulator. Minimizing the amount of time a modulator is activated reduces the chances of an eavesdropper determining the modulator state in an attempt to gain information about the exchanged key.

Thus, an example embodiment of the present invention includes setting up the modulator timing. For each modulator, the method includes two main steps: a coarse timing adjustment with a wide modulation voltage signal W followed by a fine timing adjustment with a narrow modulation voltage signal width W .

These basic steps are now described in greater detail below with reference to QKD system 100 of FIG. 2. Note that in an example embodiment, controllers 20 and 50 communicate directly with their respective voltage controllers 14 and 44 via respective calibration signals SC1 and SC2 in the modulator timing set-up rather than through RNG units 16 and 46.

Timing for Bob's modulator

In an example embodiment, the timing for Bob's modulator MB is established, though Alice's timing could be established first.

With reference to flow diagram 200 of FIG. 2, in 202, Bob's controller 50 sends a signal SS over synchronization channel SL to controller 20 instructing it to turn off Alice's phase modulator if it is not already off. In 204, controller 50 then directs voltage controller 44 to set the modulator voltage V_B to a relatively large modulation value, such as $V_B[\pi]$. The voltage setting of $V_B[\pi]$ is preferable because it allows for fewer photons (e.g., hundreds) per pulse to be used as compared to other voltage settings that require more (i.e., thousands) of photons per pulse. This translates into a faster scan time and thus faster timing set-up

operation. Thus, even though the particular bases used in the key exchange operation might not include a phase setting of π , for the purposes of setting up the modulator timing as quick as possible, this phase setting may be preferred.

In 206, controller 50 also directs voltage controller 44 to make the width WB of voltage signal $V_B[\pi]$ relatively large (say, 50ns) as compared to the final voltage signal width (which is typically in the range from 2ns to 10ns). In 208, controller 50 selects an initial modulator voltage time T_0 at which $V_B[\pi]$ is to be applied to modulator MB. In an example embodiment, $T_0 = 0$.

In 210, controller 50 then sends a signal S_0 to laser 12 to generate pulses P_0 at a given repetition rate, such as 1MHz. Pulses P_0 need not be quantum pulses and can have hundreds or thousands of photons. In an example embodiment, the average number of photons μ in pulses P_0 is selected to be that necessary to readily discern the detection of photons in detectors 32a and 32b. In such a case, μ is typically between 1 and 10.

In 212, modulator MB is modulated with $V_B[\pi]$ at T_0 and with width WB, and the photon count at detectors 32a and 32b is measured. If the timing of modulator MB is not correct, then no pulses will be modulated and the photon count at detector 32a will be high, while the photon count at detector 32b will be low and originating mostly from dark current and other spurious effects.

Note that in system 100 of FIG. 1, two pulses P_1 and P_2 are created from pulse P_0 . These pulses are reflected from Alice and return to Bob. In system 100 as described above, the relative phase difference between P_1 and P_2 at the end of a round trip along optical fiber link FL is measured by detectors 32a and 32b.

In system 100, the phase modulation from modulators MA and MB can be imparted to P_1 by both Alice and Bob, imparted to P_2 by both Alice and Bob, imparted to P_1 by Bob and to P_2 by Alice or vice versa, since it is the overall relative phase difference between the pulses that is ultimately measured, not the phase of any particular pulse. However, the particular phase modulation method must be agreed upon in advance by Alice and Bob so as to set the modulator voltage amplitudes and the voltage pulse timing to the correct levels.

In the example embodiment described below, it is presumed that, pulse P1 is modulated by both Alice and by Bob for the sake of illustration. The phase shift is the sum given by each modulator, and is compared to the phase of the unmodulated pulse P2. Thus, in an example embodiment of the modulator timing set-up, it is the modulation of pulse P1 through Alice that needs to be timed. If both pulses P1 and P2 are to be modulated, the timing set-up method of the present invention applies to this case in a straightforward manner. For example, if P1 is modulated by Bob and P2 is modulated by Alice, then a bias phase voltage of $V_B = V_A = V[\pi]$ needs to be provided to both modulators to ensure a null phase difference.

It is important that Bob's outgoing pulses P1 and P2 not be modulated because this could reveal information of Bob's modulator state to an eavesdropper. This is particularly true when a high average photon level μ is used, since it allows an eavesdropper to place a tap on the fiber link FL without detection.

After a sufficient sampling interval, resulting in say at least 10 photons or more in the presence of external noise, the photon count of each detector is recorded, and in 214 the pulse timing T0 (measured, say, at the leading edge of the voltage signal) is incremented by ΔT . The value of ΔT is selected to be slightly smaller than initially wide modulator pulse. For example, for a 1MHz repetition rate from laser 12, the pulses P0 are separated by 1 μ s. This interval can be divided, say, into 25 segments, to define a (coarse) time increment $\Delta T = 40$ ns which can be covered with a 50ns modulator pulse width to guarantee overlap.

Also in 214, the photon count is checked again to see if modulation has occurred. If not, then T0 is incremented by another ΔT , etc., 212 is repeated and the photon count check of 214 is repeated. Acts 212 and 214 are repeated (iterated) n times for $T0 + n\Delta T$ until the entire interval between successive laser pulses is covered. Note that by setting $V_B = V_B[\pi]$, the shift in photon count is dramatic when the phase modulation finally occurs, as compared to setting V_B to $V_B[\pi/4]$, as is the case for normal QKD system operation.

This process results in two time intervals during which photons are detected on detector 32b rather than detector 32a. One such time interval occurs when photons from laser 12 are affected by the modulator MB during travel towards Alice, and one interval when the photons returning from Alice travel through the modulator MB. If the length of the fiber link FL was changed so that the round trip travel time was increased, then the outgoing pulse would show a photon deflection event at the same point in time, while the return pulse would result in a photon deflection event at a time corresponding to the delay due to the increase in round-trip travel time.

A similar effect can be achieved without changing the physical fiber by changing the rate at which photon pulses P0 are sent in to the system. Since there is more than one pulse in the fiber link FL, this will cause an apparent change in location of the return pulse. Thus, in 215, the modulator MB is set to the timing (say, T1) that only modulates pulses incoming to Bob, which corresponds to the pulses that change locations.

Once the shift in the photon counts occurs between the detectors so that the outgoing pulse timing T1 for Bob can be identified, then the process proceeds to 216, wherein the timing is set to T1. However, the modulation timing at this point is only known to within the timing increment ΔT , which in our example is 50ns.

The modulation voltage width WB of the voltage signal V_B needs to be decreased to a more reasonable value. Ideally, voltage signal V_B ultimately has a width WB that is as small as possible so that modulator MB is activated only for the briefest amount of time necessary to modulate incoming pulse P1. Also, the voltage signal width WB needs to be small enough so that incoming pulse P2, which is close to incoming pulse P1 (e.g., within a few nanoseconds), passes through modulator MB without being modulated.

Accordingly, in 217 the width WB is reduced, e.g., to 5ns. This value is picked with physical bandwidth and settling time limitations of the modulator voltage driver 14 in mind. Thus, in 218 the increment ΔT is broken divided into a number of (fine) sub-intervals, say $\Delta T' = (50\text{ns})/(25) = 2\text{ns}$, which should be

smaller than width WB to allow overlap during scanning. In 218, acts 214-216 are then repeated using $T2 = T1 + n\Delta T'$ until the value of T2 is determined to within $\Delta T'$ (here, $\Delta T' = 2\text{ns}$). The timing pulse is then centered about the intervals at which the photon counts at the detectors show changes.

In 224 and 226, the process of finding the modulation voltage timing T1 and T2, (optionally) narrowing voltage signal width WB and subdividing the time increment into increasingly smaller segments in 212-218 is optionally repeated for additional voltage timing values T3, T4, etc. using correspondingly smaller time sub-intervals and optionally smaller voltage signal widths WB until the final timing T_{VB} of the modulator voltage V_B for modulator MB is established to a desired degree of accuracy, e.g., $\pm 2\text{ns}$ or so, with a final pulse width WB of about 2ns.

Timing for Alice's modulator

Once the timing of Bob's modulator MB is established, then the timing of Alice's modulation is established. Note that in another example embodiment, Alice's modulator timing can be established first.

Accordingly, with continuing reference to FIG. 1 and also to the flow diagram 300 of FIG. 3B, in 302 Bob's modulator voltage is set constant at $V_B[\pi]$.

In 304, Alice's controller 20 sends a signal SC2 to voltage controller 14 directing it to send a voltage signal $V_A = -V_B = V_A[-\pi]$ to modulator MA. This serves to set the phase of modulator MA to (nominally) $-\pi$. Bob's modulator MB is maintained constant at $V_B[\pi]$ during Alice's modulator timing set-up. As with Bob's modulator voltage V_B , Alice's modulator voltage V_A is set to a relatively large modulation value, such as $V_A[-\pi]$ so that if modulation occurs, an overall phase shift of (nominally) 0 results, which results in the modulated pulses being detected at detector 32a. If no modulation occurs, then the pulses will have a phase of π , which results in the modulated pulses being detected at detector 32b.

In 306, as in 206 for Bob, controller 20 also directs voltage controller 44 to make the width W_A of voltage signal $V_A[\pi]$ relatively large (say, 50ns) as compared to the final signal width (which is typically about 10ns).

In 308, as in 208 for Bob, controller 20 selects a (new) initial time T_0 at which $V_A[-\pi]$ is to be applied to modulator MA.

Note that in an example embodiment, the optical pulse to be modulated at Alice is modulated both on the way in and on the way out of Alice. This requires width W_A to be wide enough to modulate the pulse as it travels through the modulator to the Faraday mirror and back through the modulator, yet narrow enough not to modulate both pulses P1 and P2. This modulation approach has the advantage of reducing the polarization sensitivity of the modulator to variations in the pulse polarizations.

In 310, as in 210 for Bob, controller 50 then sends a signal S_0 to laser 12 to generate pulses P0 at a given repetition rate, such as 1MHz.

In 312, as in 212 for Bob, the photon count at detectors 32a and 32b is measured. If the timing of modulator MA is incorrect, then pulse P2 passing through the modulator on the way back to Bob will not be modulated at Alice and the photon count at detector 32b will be high, while the photon count at detector 32a will be low and be due mostly to dark current and other spurious effects.

Recall that in system 100 of FIG. 1, two pulses P1 and P2 are created from pulse P0. These pulses are reflected from Alice and return to Bob. In system 100 as described above, either pulse P1 or pulse P2 is modulated by Alice and either pulse P1 or pulse P2 is modulated by Bob. Thus, in the modulator timing set-up for Alice, it is the modulation of previously agreed upon pulse P1 or P2 that needs to be timed, and it needs to be modulated on both the way into Alice and the way out of Alice.

Unlike the situation at Bob, the round trip time for a photon to travel from modulator MA, to the faraday mirror MF, and back to modulator MA is well known as does not change to any appreciable degree. This round trip travel time is smaller than the time separating P1 and P2. Modulator MA is driven with a sufficiently narrow modulator drive voltage width W_A to observe two changes in

photon detector counts:, one corresponding to the transition in and out of P1, and the second corresponding to the transition out of P2. The modulator drive pulse V_A has a width W_A sufficient to cover both directions of travel of P1 or P2 at the same time.

If the photon count indicates no modulation has occurred, then in 314 the voltage signal timing T_0 is incremented by ΔT , as in 214 for Bob. The value of ΔT is selected, for example, by knowing the time interval between pulses P1 and P2 so as to guarantee that only one pulse is modulated at a time. In 214, the photon count is checked again to see if modulation has occurred. If not, then T_0 is incremented by another ΔT , etc., and photon count check is repeated. This process is repeated n times for $T_0 + n\Delta T$ until the entire interval between successive laser pulses is covered.

Recall, at Bob only one direction of travel of pulses P1 or P2 is covered by the modulator voltage signal V_B . However, in Alice, both directions of travel of the pulses is covered by the modulator voltage signal V_A . Thus, in the case of Bob, a change in photon count of say, less around 50%, would not be wholly indicative of a change in the modulation. On the other hand, such a change at Alice could very well indicate that at least one of the two modulations of the pulse to be modulated has occurred and that at least a rough estimate of the timing has been established.

In a fashion similar to that carried out for Bob, this timing-shift process is repeated with finer accuracy. Once a shift in photon counts between the detectors occurs, then the process proceeds to 316, wherein the timing T_1 is set to the beginning of the interval of the photon count change, and acts 312 and 314 are repeated (iterated) with sub-intervals $\Delta T'$, as discussed above in 216 for Bob, until the value of T_2 is determined (e.g., to within $\Delta T' = 2\text{ns}$). At this point, the photon count of detector 32b will be near zero again, as both direction of travel for either photon pulse P1 or P2 are covered.

Once the timing for voltage signal $V_A[-\pi]$ is established, then as in 217 of Bob, in 317 the width W_A of the voltage signal $V_A[-\pi]$ is decreased to a smaller value to make probing modulator MA by an eavesdropper more difficult. In an

example embodiment the voltage signal width W_A is made incrementally smaller and acts 312-316 repeated with the smaller signal width.

Then, as in 218 of Bob, in 318 the timing interval ΔT is divided into finer sub-intervals $\Delta T'$ and acts 312-316 are repeated. If a change occurs in the photon count that indicates a change back to the "no modulation" state, then in 322, as in 222 for Bob, the modulator voltage timing T_{VA} is adjusted to shift the narrowed voltage signal until modulation is reestablished, and preferably so the narrowed voltage signal is centered on the pulse P2. In 324, acts 317 and 320 (or 318-320) are then repeated until the desired width Alice modulator voltage timing T_{VA} is established. In an example embodiment, the voltage signal width W_A is about 5X the width of voltage signal width W_B , e.g., $W_B = 2\text{ns}$ and $W_A = 10\text{ns}$.

In an example embodiment, the modulator timing set-up is accomplished by including software in controllers 20 and 50 that has instructions for carrying out the timing method discussed above and illustrated in the corresponding flow diagrams.

Not also that the modulator timing set-up process must be repeated if the fiber length is changed, (e.g., a connection to a new fiber link FL or optical switching to a new optical path), or if the qbit update rate changes.

While the present invention has been described in connection with preferred embodiments, it will be understood that it is not so limited. On the contrary, it is intended to cover all alternatives, modifications and equivalents as may be included within the spirit and scope of the invention as defined in the appended claims.

ABSTRACT

Methods for establishing modulator timing for a two-way QKD system having QKD stations Bob and Alice are disclosed. The timing method includes performing a coarse timing adjustment by scanning the modulator timing domain with a relatively coarse timing interval and wide modulator voltage signal, and then performing a fine timing adjustment by scanning the modulator timing domain with a fine timing interval and a relatively narrow modulator voltage signal. The timing method includes monitoring the detector counts to determine when modulation is occurring and setting the timing accordingly. The method further includes discerning between two timing intervals associated with pulses entering and leaving Bob, and ensuring that only incoming pulses are modulated.

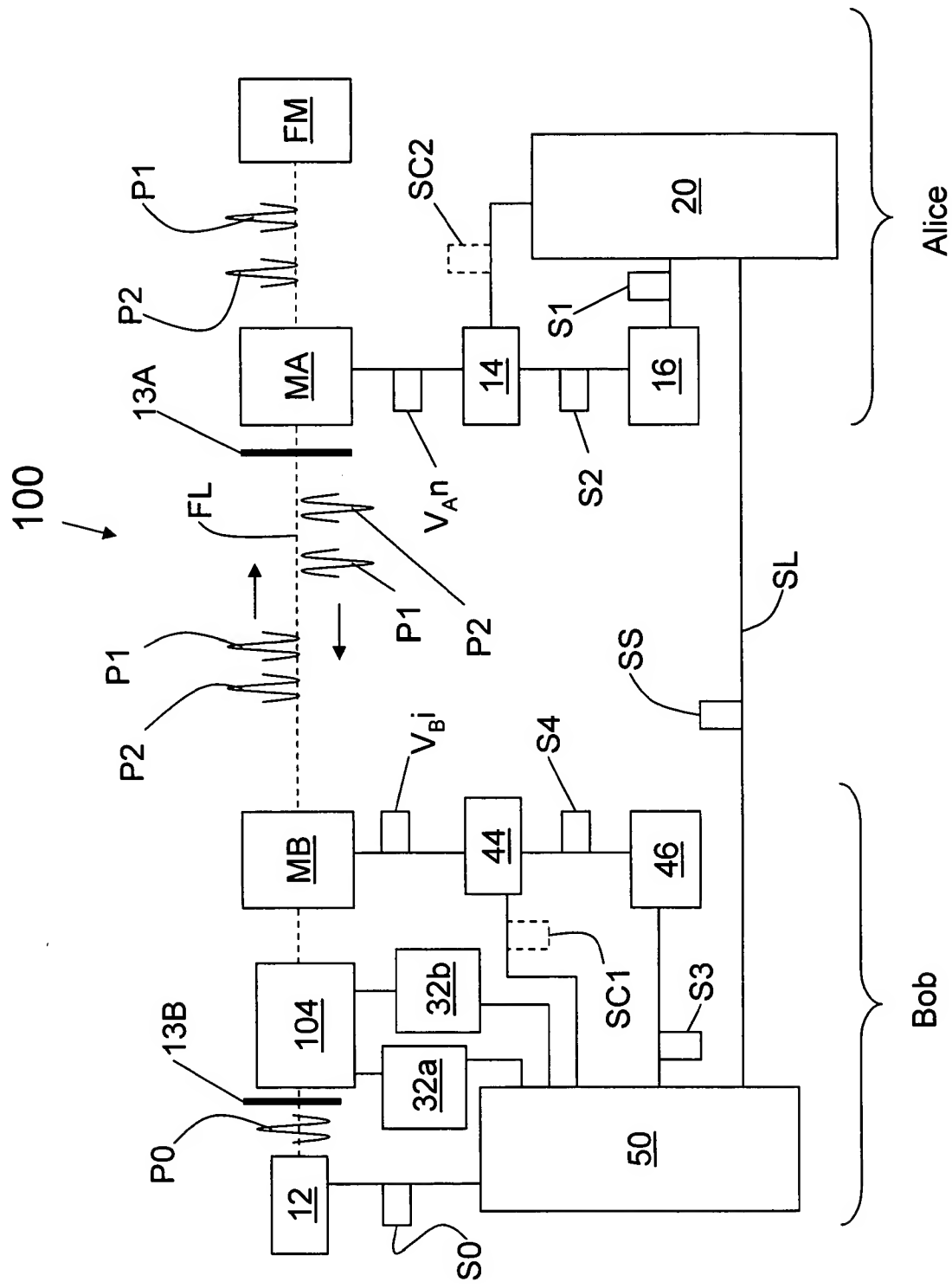
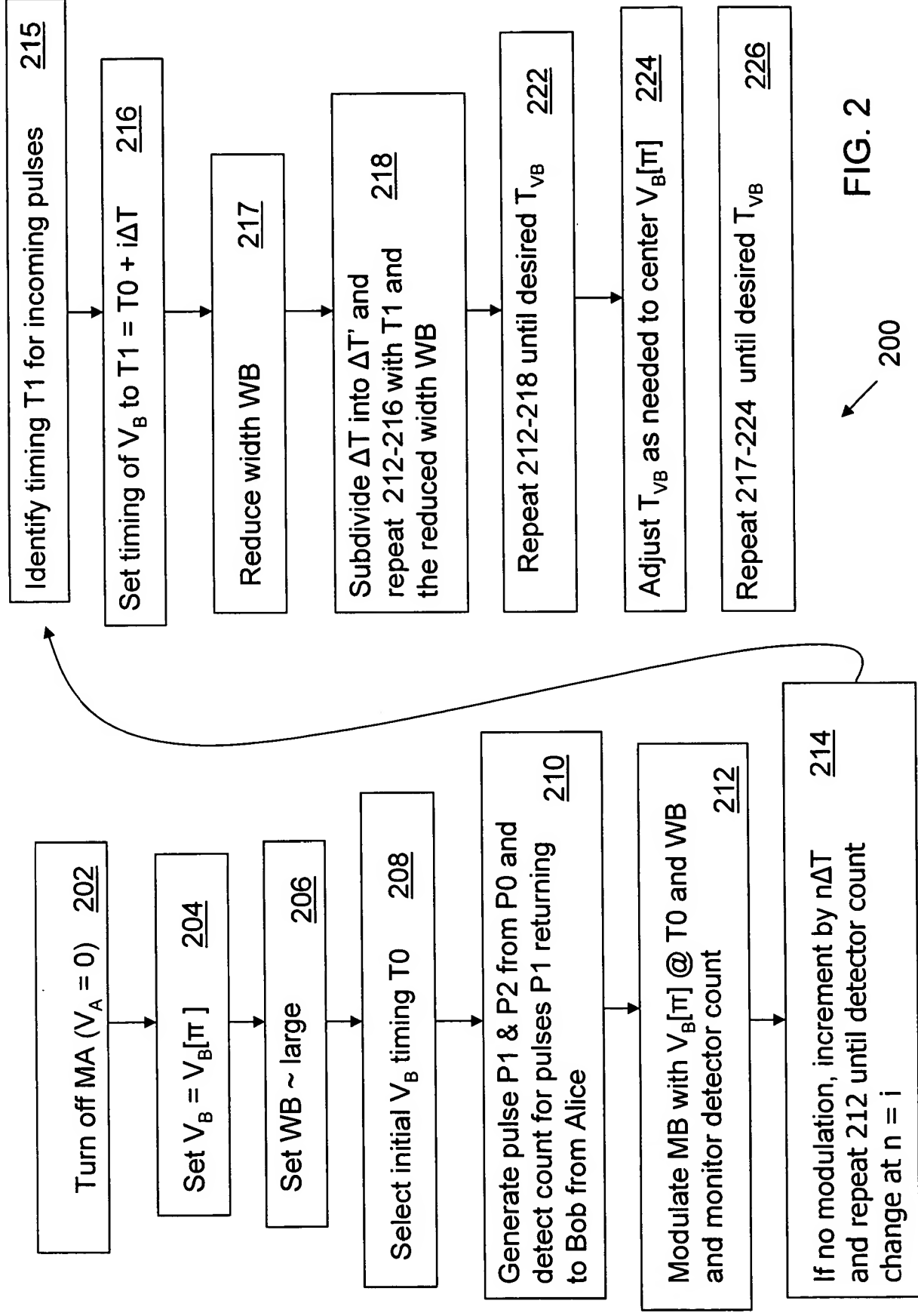


FIG. 1



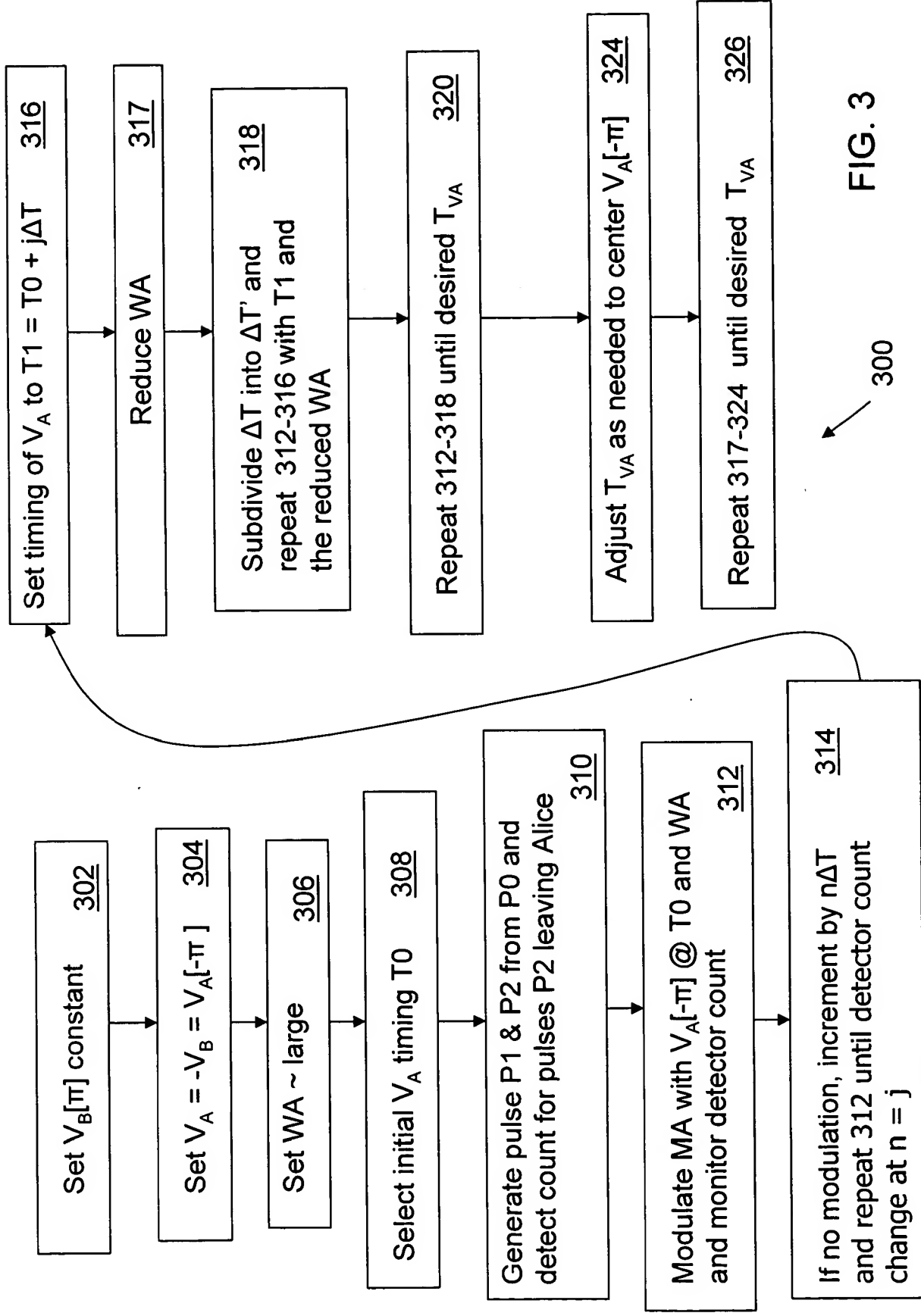


FIG. 3